INFLUENCE OF CARBON NANOTUBE REINFORCED TiO$_2$-Al$_2$O$_3$ COATING MIXTURE ON AISI 1020 SURFACE PERFORMANCE

P.Vijayanand$^{1,*}$, Amitesh Kumar$^2$, K. R. Vijaya Kumar$^3$, Nazir Hussain$^4$, P.Kumaran$^5$ and S. Arungalai Vendan$^6$

$^1$Department of Mechanical Engineering, Ranchi University, Ranchi-834001, (Jharkhand) India
$^2$Department of Foundry Technology, NIFFT, Ranchi-834003, (Jharkhand) India
$^3$Department of Mechanical Engineering, Dr.M.G.R Educational and Research Institute, Chennai-600095, (Tamil Nadu) India
$^4$Department of Mechanical Engineering, CIT, Ranchi-835103, (Jharkhand) India
$^5$Department of Automobile Engineering, Saveetha University, Saveetha Nagar, Chennai-602105, (Tamil Nadu) India
$^6$School of Electrical Engineering, VIT University, Vellore-632014, (Tamil Nadu) India

$^*$E-mail: vijayme2004@rediffmail.com

ABSTRACT

Evolution and extensive research on nano technology has yielded several positive facets in the surface engineering which alters the mechanical properties and metallurgical behaviour of substrates as desired by designs specified for critical applications. In this work, plasma spray coating on mild steel using the nanocomposite material of Al$_2$O$_3$ with 13%TiO$_2$ & 1%CNT is carried out to examine the mechanical and metallographic behaviours. The Nano composite material is coated on AISI 1020 substrate using a plasma spray technique. ASTM C-633 standards are followed while performing destructive testing to examine the strength of the bonding. The Nano-structured coat layer exhibit greater resistance to crack growth, higher bonding strength and hardness. The higher strength and toughness obtained is attributed to the formation of net structures. Further, the samples are investigated by using X-ray diffraction technique and microscopic techniques. Al$_2$O$_3$ phase shows dominance. Metallographic studies revealed that coating on the substrate illustrates various regions, including the ceramic layer (Al$_2$O$_3$ or Al$_2$O$_3$–13 weight% TiO$_2$) and the matrix, that show little variance due to the coating. Negligible or insignificant amount of spalling or delamination is observed in the coatings. Nevertheless, there is negligible number of visible pinholes in the coatings. Response surface methodology is employed to examine the performance measures and parametric dependencies.

Keywords: Al$_2$O$_3$, TiO$_2$, Nano composites, Metallographic, Thermal Spray, Coatings

INTRODUCTION

There are several challenges faced by engineers while selecting specific materials for structural components in diversified industrial sectors viz., marine, aerospace, automobile, nuclear, oil exploration etc that operates in hostile ambience with varying temperatures, pressure, corrosion and gas flow. The surface properties vary at the components bulk and are expected to sustain the dynamic changes in the physical phenomenon. Thermal spray coating is an economical and technically viable method employed for enhancing surface properties in engineering applications. This process typically comprises of a gun used to heat a material to its solidus state and subsequently pass a gas to accelerate the material to the target substrate to impart superior surface properties. Figure-1 Illustrates thermal spray process. Owing to the high hardness exhibited by Alumina (Al$_2$O$_3$) it finds several applications in tribological applications. Typically, Al$_2$O$_3$ is deposited on the substrate of the mechanical parts improves the surface functionality of engineering parts.
Researchers have investigated and reported Al₂O₃-based nanocomposite coatings containing TiO₂.⁵⁻⁸ The Al₂O₃/TiO₂ coatings exhibit greater wear resistance and higher toughness when compared to the elemental Al₂O₃ coatings alone.⁹ Generally, plasma-sprayed Al₂O₃/TiO₂ coatings are created with commercial Al₂O₃-TiO₂ feedstock powders namely the METCO-130TM (Al₂O₃/13wt% (wt%) TiO₂).

This mixture contains dense particles with irregular shape whose particle size averages between 40-50 µm.¹⁰ Thermal spraying plays a significant role in the technologies employed for surface modification is
capable of imparting thick coatings over ~100-120 µm over a large area in shorter time in comparison with conventional coating processes namely the electroplating, CVD and PVD.\textsuperscript{11} AISI 1020 acts as a universal material owing to its cost effectiveness, simplicity in fabrication and for exhibiting good corrosion resistance. Nickel stabilizes its austenitic phase. Nevertheless, austenitic phases show higher possibility for localized attack in applications due for longer spans and reveal intense biological effects. Corrosive products comprise of chromium, iron, and molybdenum and nickel etc. Accumulated ions in tissues around the implant are carried to various parts of the body. The reports illustrate that the resulting metallic ions from austenitic steel’s vitro corrosion cause undesired alterations.\textsuperscript{12} As reported in literatures, bond coating posses’ severe functionality issues which is attributed to differential thermal expansion coefficient prevailing between the substrate and coating materials.\textsuperscript{13} In case of substrate and Al\textsubscript{2}O\textsubscript{3} and TiO\textsubscript{2} coating mixture, it is imperative to ensure match of thermal expansion between coating and substrate layers. Nevertheless, it has to be ensured that bond coatings are typically thinner than main coatings.\textsuperscript{14,15} Further, the implications on including carbon nano tubes in feed powders have not been reported in detail which demands an intensive study owing to the favourable features it would impart to the coating materials due to its favourable mechanical and chemical properties. The idea behind this investigation is to determine the influence on mechanical properties and metallurgical behaviour due to Al\textsubscript{2}O\textsubscript{3}-13 wt\% TiO\textsubscript{2} with 1\% carbon nanotube (CNT) mixture of coating material layered on AISI 1020. Subsequently, the quoted specimens are characterized by XRD, SEM etc. ASTM C-633 standards are used for bond strength evaluation. RSM is employed for examining the parametric effects. The work scheme to be followed is briefly presented in the flowchart in Fig.-2.

**EXPERIMENTAL**

**Materials and Methods**

The plasma spray technique shown (Fig.-3) consists of power supply, DC plasma spray torch, gas feeding system, control console, powder feeder and water cooling arrangement.

Plasma torches functions in non-transferred mode with gas flow rates high and currents respectively. The energy from the arc is extort by plasma, while pushing out of torch nozzle with a velocity as high as 600-800 m/s and temperature of as high as (10,000-20,000K). Ceramic or Metallic powder are introduced into plasma jet gets melted and the droplets hits the surface of the substrate with towering velocity thereby creating an adherent coating. The dc plasma spray equipment and its basic working is illustrated (Fig.- 4). Tungsten rod forms the cathode tip of conical shape. Nearly 2-3\% thorium oxide is supplemented to
tungsten to enhance its thermionic emission. Copper is used for the nozzle and nylon blocks insulated acts as a separator between the electrodes.

Argon as plasma is injected into the inter region of electrode along the side port within insulator. Water cooling is used for the electrodes and the nozzle is fixed with a port close to its edge that enables the carrying of powders and feeding gas. Upon the striking of electric arc between the electrodes, energy from the arc is extracted by the plasma that rushes out of the nozzle with high temperature and velocity jet respectively. The combined action produces a thermal pinch effect at the cold wall and nozzle and forms a cold sheath of gas along the arc column which is highly conductive and exhibits a very high temperature. Coating powders introduced into the stream of plasma jet melts the particles that travel at velocity as high as 100 m/s directed onto the substrate surface and subsequently solidify into an adherent coating. The nozzle dimensions used are diameter 6 mm, gap between electrodes maintained at 12 mm and length of cathode 50 mm. The operational parameter setting which is maintained constant is provided in Table-1.

<table>
<thead>
<tr>
<th>Table 1: Operational Parameter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parameters</td>
</tr>
<tr>
<td>Operating Power</td>
</tr>
<tr>
<td>Current</td>
</tr>
<tr>
<td>Voltage</td>
</tr>
<tr>
<td>Flow rate of plasma gas (Ar)</td>
</tr>
<tr>
<td>Flow rate of secondary gas (H₂)</td>
</tr>
<tr>
<td>Spray Distance</td>
</tr>
<tr>
<td>Coating thickness</td>
</tr>
</tbody>
</table>

Several trials are carried out by varying the experiments based on design of experiments and Table-2 presents the details of the combination of parameter values for each trial.

<table>
<thead>
<tr>
<th>Table-2: Experimental parametric levels (minimum and maximum values) of the variable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Variable spray process parameter</td>
</tr>
<tr>
<td>----------------------------------</td>
</tr>
<tr>
<td>X1</td>
</tr>
<tr>
<td>X2</td>
</tr>
</tbody>
</table>
Coated Sample
The plasma sprayed surface modified specimens with varying clad layer compositions viz., AL₂O₃:13%TiO₂: CNT is shown in Figure-5.

Response Surface Methodology for parametric analysis
Experimental investigations are made to access the strength aspects of the coatings followed by RSM for parametric analysis. RSM combines tools of mathematics and statistics for model building, simulation and analysis of intricate problems wherein the response of interest is affected by innumerable variables and optimization of the response being framed as the key objective[16], the universal model equations in RSM used for the study is as follows:

\[
y = \varepsilon_0 + \sum_{i=1}^{b} \varepsilon_i x_i + \sum_{i=1}^{b} \sum_{j=i+1}^{b} \varepsilon_{ij} x_i x_j + \sum_{i=1}^{b} \varepsilon_{ii} x_i^2
\]

(1)

Where, y is the response while the power of plasma, scanning speed, rate of feed and number of cycles forms the inputs and \( \varepsilon_0, \varepsilon_i, \varepsilon_{ij} \) and \( \varepsilon_{ii} \) indicates the constant, linear, quadratic and interaction terms respectively.

Modelling of the process with RSM that incorporates statistical DOE accounting for the design of central composite face centred is a reliable tool[17]. They provide key information’s on the interaction and main effects while reducing the time consumed and costs incurred. For this investigation, response surface (RS) model of 2\(^{nd}\) order for forecasting the strength Alumina, TiO₂, CNT powder coated samples strength.

Engineering associated industries find it essential to determine regions an improvement response is observed apart from optimum response[18]. RSM models accuracy is validated generally in terms of the results obtained from experiments. Here, the RSM model is used for determining the plasma coatings optimum conditions that would yield maximum strength. Taguchi’s method has been commonly employed for process parameters optimization. The error percentage among the predicted values and experimental results was negligible. Mechanical property variations are also addressed with the coated samples microstructures.

RESULTS AND DISCUSSION
RSM results
ANOVA technique[19] is used to verify the developed models adequacy at a confidence interval 95%. The table comprises of sum of squares attained from the 1\(^{st}\) order terms while the lack of fit, experimental error and the 2\(^{nd}\) order equation contributing to the degrees of freedom. In accordance with ANOVA...
technique, the adequacy of the model is obtained within the confidence level, if the value of the $F$ ratio calculated show lack of fit while the original error limits to the standard $F$-ratio tabulated.\textsuperscript{18-19} Here power of plasma, speed of scanning, rate of feed and number of cycles is sufficient and exist in non-linear form as shown in Table-3.

Table-3: Analysis of Variance (ANOVA) for Alumina, TiO$_2$ and CNT coatings

<table>
<thead>
<tr>
<th>X1 (Plasma Power kW)</th>
<th>X2 (Feed Rate g/min)</th>
<th>X3 (Scanning Speed mm/s)</th>
<th>X4 (Number of cycles)</th>
<th>Y1 Microhardness (HV)</th>
<th>Y2 Thickness/Cycle (µm)</th>
<th>Y3 Deposition Efficiency</th>
<th>Y4 Porosity (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>40</td>
<td>22</td>
<td>200</td>
<td>10</td>
<td>878</td>
<td>31.24</td>
<td>76</td>
<td>2.90</td>
</tr>
<tr>
<td>40</td>
<td>6</td>
<td>500</td>
<td>10</td>
<td>798</td>
<td>75.5</td>
<td>46</td>
<td>4.44</td>
</tr>
<tr>
<td>20</td>
<td>22</td>
<td>500</td>
<td>10</td>
<td>719</td>
<td>63</td>
<td>42</td>
<td>6.58</td>
</tr>
<tr>
<td>20</td>
<td>6</td>
<td>200</td>
<td>10</td>
<td>622</td>
<td>15.61</td>
<td>34</td>
<td>11.56</td>
</tr>
<tr>
<td>20</td>
<td>6</td>
<td>500</td>
<td>10</td>
<td>687</td>
<td>49.72</td>
<td>30</td>
<td>5.88</td>
</tr>
<tr>
<td>20</td>
<td>6</td>
<td>500</td>
<td>20</td>
<td>663</td>
<td>17.92</td>
<td>26</td>
<td>6.02</td>
</tr>
<tr>
<td>40</td>
<td>6</td>
<td>500</td>
<td>20</td>
<td>778</td>
<td>35.26</td>
<td>51</td>
<td>4.86</td>
</tr>
<tr>
<td>40</td>
<td>22</td>
<td>200</td>
<td>20</td>
<td>831</td>
<td>21.32</td>
<td>69</td>
<td>2.74</td>
</tr>
<tr>
<td>40</td>
<td>22</td>
<td>500</td>
<td>10</td>
<td>782</td>
<td>79.86</td>
<td>56</td>
<td>3.53</td>
</tr>
<tr>
<td>20</td>
<td>22</td>
<td>200</td>
<td>10</td>
<td>692</td>
<td>24.56</td>
<td>57</td>
<td>3.77</td>
</tr>
<tr>
<td>20</td>
<td>22</td>
<td>200</td>
<td>20</td>
<td>695</td>
<td>9.94</td>
<td>56</td>
<td>5.36</td>
</tr>
<tr>
<td>20</td>
<td>6</td>
<td>200</td>
<td>20</td>
<td>615</td>
<td>13.44</td>
<td>68</td>
<td>5.92</td>
</tr>
<tr>
<td>40</td>
<td>6</td>
<td>200</td>
<td>20</td>
<td>831</td>
<td>12.16</td>
<td>70</td>
<td>4.16</td>
</tr>
<tr>
<td>40</td>
<td>22</td>
<td>500</td>
<td>20</td>
<td>778</td>
<td>35.61</td>
<td>46</td>
<td>4.82</td>
</tr>
<tr>
<td>20</td>
<td>22</td>
<td>500</td>
<td>20</td>
<td>648</td>
<td>29.22</td>
<td>32</td>
<td>5.80</td>
</tr>
<tr>
<td>40</td>
<td>6</td>
<td>200</td>
<td>10</td>
<td>843</td>
<td>27.84</td>
<td>50</td>
<td>4.80</td>
</tr>
<tr>
<td>30</td>
<td>14</td>
<td>350</td>
<td>15</td>
<td>864</td>
<td>42.88</td>
<td>62</td>
<td>4.98</td>
</tr>
<tr>
<td>30</td>
<td>14</td>
<td>350</td>
<td>15</td>
<td>850</td>
<td>37.47</td>
<td>53</td>
<td>3.72</td>
</tr>
</tbody>
</table>

Tests are imperative to determine the regression models significance that involves individual model coefficients and test for lack-of-fit test for significance. ANOVA table facilitates the experimental tests performed. Table-3 depicts ANOVA outcome model for response surface recording the adopted levels for the different parameters. The F value is less than 0.05 for the model indicating the significance of the model. It is clearly evident that the model terms have considerable impacts on the response. On similar lines, the main effect of feed rate (X2) and plasma power (X1) are the interaction at two levels that dictates the significant terms of the model. The contribution of the model terms is negligible. Other model terms that are insignificant (un-accounting those essential to support hierarchy) may be get rid of to evolve an improved model. Lack-of-fit also shows insignificant. Such models are essential to accomplish proper fit. The power of plasma and rate of feeds main effect is highly significant factor governing the specimen coatings. Results yield variability measure in the observed values of response and is illustrated by controllable factors along with their interactions. The completed mathematical models typically in coded forms is employed to forecast the optimal or the best coating conditions of alumina, TiO$_2$ and CNT blends to attain optimal thickness, hardness, efficiency of deposition and porosity as given below:

**Regression Analysis: Y1 versus X1, X2, X3, X4**

Regression Equations:

\[
Y_1 = 569.5 + 7.36 \times X_1 + 1.45 \times X_2 - 0.0642 \times X_3 - 2.28 \times X_4
\]

(2)

\[
Y_2 = 14.1 + 0.596 \times X_1 + 0.370 \times X_2 + 0.0958 \times X_3 - 2.406 \times X_4
\]

(3)

\[
Y_3 = 39.5 + 0.744 \times X_1 + 0.461 \times X_2 - 0.0629 \times X_3 + 0.337 \times X_4
\]

(4)

\[
Y_4 = 10.53 - 0.1165 \times X_1 - 0.0948 \times X_2 + 0.00030 \times X_3 - 0.0473 \times X_4
\]

(5)
Equations-2 to 5 present the regression equations depicting the extent of input parametric dependencies on output parameter. The main effects plot allows researchers to arrive at clarity on the main effects of parameters that are interdependent in the process. Main effect is typically estimated by deducting the overall mean (for the factor) from the mean of each level. The Figure 6-13 indicates the main effects location for the various input parameters and the coating strength respectively. Therefore, the feed rate, plasma power, scanning speed tends to increase while moving along low to high level respectively. Consequently, each level of the factors alters the response in a different way. At high level of each factor mean responses are obtained and subsequently compared to responses at low level. Besides, the plasma power seems to illustrate greater effect on the responses when compared with the attained slope.

![Contour Plots of Y1](image1)

Fig.-6: Contour plot for plasma power Vs various input parameters

![Surface Plots of Y1](image2)

Fig.-7: Surface plot for plasma power Vs various input parameters

Accomplishing the response surface’s shape; valleys, hills, and ridge lines are done through surface plot. Therefore, the Equations- 2 to 5, function \( f(X1, X2) \) can be plotted versus the \( X1 \) and \( X2 \) levels (Fig.-7). From the plot, it’s observed that each individual value of \( X1 \) and \( X2 \) produce a \( y \)-value. This 3D graph
illustrates the response surface. The contour plots Figs.- 6 to 13 are produced using Eq.2-5, the estimated fit coefficient is achieved by maintaining any one variable constant as "hold values" (Figs.- 6 to 13).

**Contour Plots of Y2**

Fig.-8: Contour plot for feed rate Vs various input parameters

Contour plot suggests prominent alterations in the strength of the coating resulting from feed rate and plasma power which is evident from the increasing strength of coating while moving along the axis depicting the plasma power and axis depicting the feed rate. ANOVA results acknowledge that the plasma power is significant while feed rate shows contradicting response. The curve representing the coating strength at varying feed rate and varying ranges of plasma power are easy to understand. Besides, the coating strength slope in terms of plasma power indicates negligible change in feed rate.

**Surface Plots of Y2**

Fig.-9: Surface plot for plasma power Vs various input parameters

**Micro-structural study of the coatings for surface morphology**

Inter bonding among particles of powders sprayed and the coating morphology dictates the interface adhesion between the substrate and the coating layer. The micro-structural images of the substrate coated
with Al₂O₃: 13TiO₂: CNT are shown in Fig.-14. The image exhibits a uniform distribution of the coat layer with semi-molten and molten particle undergoing agglomeration to form laths. Some negligible amount of cavitations is also observed. Few pores are noticed on inter and intra particle circumferences, boundaries and triple particle at grain junctions.

Fig.-10: Contour plot for Scanning Speed Vs various input parameters

This may be attributed to the fact that in those areas exhibiting pores, there may be insufficient molten particles flow during solidification. The deposition of coating is carried out at 15kW that indicates a smooth but different morphology i.e. spheroid splats are clearly observed, that indicates absolute particles melting in the process of plasma’s in-flight traverse through jet of. Negligible number of cavitations is noticeable within the boundaries of the inter-granules. Particles receive more thermal energy in the process of solidification from molten state. This leads to the agglomeration forming splats i.e. flattened region is created. Subsequently, a negligible number of cavitations is recorded which improved adhesion at the interface of the coating on substrate thereby enhancing their adhesion strength.

Fig.-11: Surface plot for plasma power Vs various input parameters
Hardness and XRD

The coated layer’s hardness is measured by Vickers micro-hardness equipment and the values are presented in Table 2. The samples before getting subjected to loading, is polished and the cross sections are subjected to a load of 100 g. Indentation basic parameters are set to 10 s. The thickness of the coatings on an average is arrived based on 10 measurements. Post the deposition process; samples gluing to pull rods are performed to estimate the strength of bonded coatings which are measured by universal testing machine with cross head speed of about 0.5mm/ min. 5 samples are tested from each group used in each group. Rigaku-type diffractometer with a Cu Ka radiation is used to obtain XRD plots which operate at a wavelength of 1.54056Å to examine the phase changes in the coatings.
From the Figure-15 Al₂O₃ phase formation is prominent (Fig.-15). Studies using XRD illustrate the presence of the dominant compound in coated layer post the formation of g-Al₂O₃ including some a-Al₂O₃ and iron oxides. Studies recorded by Goberman¹⁰ also illustrated that higher CPSP supports the formation of g-Al₂O₃. This observation implies a good coherence between the experimentally recorded results and the once reported in the literature. Al₂O₃ in the conventional coating techniques are attributed to both unmelted feed particles and solid-state g-to-a phase transformation.¹⁰ However, there are no traces of new inter-metallics or impurities which may ruin the quality of the coating.

SEM with EDAX
Plasma sprayed coated specimens are investigated using SEM to understand the morphology at the interface of the coatings (Fig.-16). Key objective of obtaining SEM images is to ascertain spheroidisation phenomena during the agglomeration process of particles. The coated samples are polished with abrasive papers made of SiC along the cross section with varying grit sizes in three stages. The samples with
dimensions of 20 mm x 5 mm rectangular size are sliced from the original coated specimens and are mounted with the aid of moulding powders of thermosetting nature.

The particles are irregular in shape as observed from Fig.-16 due to difference in their rate of solidification. Particles are of elongated and versatile in nature. The particle size analysis shown in Fig.-16 is estimated to be 61.78 µm from calculations. The morphology of alumina plasma sprayed powder processed at 15 kW power and collected at 100mm standoff distance (Figure-16). Most of the particles are spherical in shape. Some particles, of larger diameter have been cracked, may be due to thermal pinch effect/fragmentation. At some places coagulation of particles is also observed in the figure; along with some unmelted powder particles. When the standoff distance increased to 400mm, it exhibits a different morphology as shown in Fig.-16. When the alumina ball milled powder, after being plasma processed at 15kW power, is collected at 100mm and 400mm standoff distances, there is a drastic change in particle shape and size as observed in the SEM image. All the particles have achieved solidification state following the complete molten state.

CONCLUSION

Steel is surface modified by adding CNT along with TiO$_2$ and Alumina coating mixture respectively using plasma spray technique forming a composite at its surface. Scanning electron microscopy results confirms the presence of CNT’s in the matrix of the steel. Subsequently, crystalline size of the sharp peak of iron is reduced remarkably and an average grain size is reduced from micrometers to nanometres. Besides there is a prominent reduction in the stress and strain estimated at around 3 times as compared to the samples received. The measured lattice constant after the reinforcement of CNT’s is in the order equal to the standard data. The hardness of steel increased to about 30% after the reinforcement of CNT’s. Hence, plasma spray technique is observed to be an effective mechanism to change the mechanical properties of steel owing to its effectual localized heating capability. As steel finds greater industrial applications, plasma spray technique establishes its feasibility to enhance microstructure and mechanical properties at optimized cost. Added to this, CNT’s create a material revolution as it yields a remarkable feature in terms of surface modification. Response surface methodology reveals that plasma power and the rate of feed are the key parameters governing the quality of the coating.

REFERENCES


[RJC-1707/2017]